

1 patients for one year--that could end up being 10
2 patients--or do we want to set a minimum number of
3 patients, or leave that up to FDA?

4 DR. BLUMENSTEIN: Or do we want to set a
5 statistical criterion, say, within 10 percent of
6 previously observed success rate--

7 DR. TRACY: Our problem is we don't have a
8 previously observed success rate.

9 DR. BLUMENSTEIN: Well, we had from the
10 data that was presented to us.

11 DR. TRACY: From the data presented?

12 DR. BLUMENSTEIN: Right, and enough
13 patients to show.

14 DR. TRACY: Have we given enough sense to
15 the FDA, the essence of what this post-market
16 surveillance is, or do we need to hammer out the
17 details of the post-market surveillance at this
18 point?

19 DR. ZUCKERMAN: Well, we need the general
20 guidelines, which would be, perhaps if I can say,
21 to construct a study that would demonstrate with
22 some statistical assurance that safety data are in
23 the same range as those data observed in the U.S.
24 IDE trial, so that we are more sure of
25 generalizability of results. Is this the main

1 rationale?

2 DR. FERGUSON: Yes. I will vote for what
3 he said.

4 DR. TRACY: What he said. Second on what
5 he said. Does that meet the essence of what we are
6 looking for here?

7 DR. BLUMENSTEIN: What he said is good.

8 DR. TRACY: Then, can I just ask each
9 member to go around the table and indicate whether
10 you approve this condition.

11 Dr. Weinberger.

12 DR. WEINBERGER: I approve.

13 DR. TRACY: Dr. Yancy.

14 DR. YANCY: Yes.

15 DR. TRACY: Dr. White.

16 DR. WHITE: Yes.

17 DR. TRACY: Dr. Hirshfeld.

18 DR. HIRSHFELD: Yes.

19 DR. TRACY: Dr. Kato.

20 DR. KATO: Yes.

21 DR. FERGUSON: Yes.

22 DR. TRACY: Dr. Krucoff.

23 DR. KRUCOFF: Abstain.

24 DR. TRACY: Abstain.

25 Dr. Maisel.

1 DR. MAISEL: Yes.

2 DR. BLUMENSTEIN: Yes.

3 DR. BRIDGES: Yes.

4 DR. AZIZ: Yes.

5 DR. TRACY: All in favor with one
6 abstention.

7 May I have another condition for the PMA?
8 Dr. Yancy.

9 DR. YANCY: I think the statement of
10 wanting this technology with the transplant center
11 is important enough that it is a condition in my
12 mind.

13 DR. TRACY: Can you be a little bit more
14 specific on that? Are you saying that this device
15 should only be in place in centers that are
16 performing cardiac transplantation?

17 DR. YANCY: That's correct.

18 DR. KATO: I would second that.

19 DR. TRACY: Any discussion on that?

20 DR. AZIZ: Initially, I think that is
21 okay, but you could see in the future, for example,
22 you could have centers that could have a link with
23 the transplant center where you could put it in,
24 and then the patient could be transferred to a
25 transplant center, so I don't think that I would

1 exclude that.

2 You could have a patient that had a
3 gunshot wound or something--I am just making up a
4 case scenario--the patient clearly will be
5 transplanted, but he could be transported, I mean
6 like a bridge to a bridge in a sense, or a bridge
7 to transplantation, so provided the proviso is that
8 the patient will eventually be transplanted.

9 I think that is something that we should
10 think about.

11 DR. TRACY: Dr. Maisel.

12 DR. MAISEL: I am comfortable with that
13 terminology. I mean I don't know where a
14 transplant center is defined or whether we head
15 down that slippery slope. I would feel comfortable
16 simply saying it can only be implanted by
17 physicians and at places that are trained in its
18 proper use.

19 DR. AZIZ: But with a view that eventually
20 that patient will be transplanted, the patient
21 receives it.

22 DR. MAISEL: I don't think we can sit here
23 and predict every eventuality of this device five
24 years from now, so could I imagine a scenario once
25 we are more comfortable with the use of the device,

1 that it may not be implanted at an actual hospital
2 where they transplant hearts? I could imagine that
3 happening.

4 DR. TRACY: Dr. Bridges, any comments on
5 that?

6 DR. BRIDGES: I think that the indication
7 that it is being used as a bridge to transplant in
8 patients with imminent risk of death, with severe
9 biventricular failure, is sufficient to indicate
10 that it ought to be put in--I mean if it is being
11 put in as a bridge to transplant, then, the
12 reasonable expectation would be that it is either
13 in a transplant center or--I am not sure we need to
14 specify it further.

15 DR. TRACY: So, we have heard some
16 discussion about this. The motion on the table,
17 though, is that the device be implanted in centers
18 that are performing transplantation. I think we
19 should vote on that particular condition.

20 Dr. Weinberger.

21 DR. WEINBERGER: I agree.

22 DR. TRACY: Dr. Yancy.

23 DR. YANCY: Yes.

24 DR. TRACY: Dr. White.

25 DR. WHITE: Yes.

1 DR. TRACY: Dr. Hirshfeld.

2 DR. HIRSHFELD: Yes.

3 DR. KATO: Yes.

4 DR. TRACY: Dr. Ferguson.

5 DR. FERGUSON: Disagree.

6 DR. TRACY: Dr. Krucoff.

7 DR. KRUCOFF: Abstain.

8 DR. TRACY: Dr. Maisel.

9 DR. MAISEL: I disagree.

10 DR. BLUMENSTEIN: I disagree.

11 DR. TRACY: Dr. Bridges.

12 DR. BRIDGES: No.

13 DR. AZIZ: I disagree.

14 DR. TRACY: So, the vote is completely
15 split, 5 for, 5 against, and 1 abstention, so I
16 take it this does not pass. I have to break the
17 vote.

18 I can see both sides of the argument. I
19 think as the technology exists at this point in
20 time, it is better at a center that is performing
21 transplants, so I would favor this amendment, so it
22 swings back over to the amendment being--

23 MR. MORTON: Can I ask, is that addressed
24 in the labeling? In the device labeling, in the
25 training and in the labeling, were we not telling

1 where and how this should be used? Was it not
2 implicit in that?

3 DR. TRACY: No necessarily under the
4 conditions that have been raised by Dr. Aziz and
5 others, that does not necessarily preclude a
6 trained transplant surgeon and a trained team
7 moving someplace else and implanting the device, so
8 the issue is really who is responsible for this
9 thing, and it does not clearly state it in the
10 labeling.

11 I think at this point in the technology,
12 which is really in its infancy, my opinion is that
13 it be used in centers that are performing
14 transplantation. Therefore, I think the amendment
15 passes.

16 Any additional conditions?

17 DR. BRIDGES: Does the physician training,
18 does that need to be specifically introduced as a
19 condition, or is that implied by our previous
20 discussions?

21 DR. TRACY: Anything that we have
22 discussed here that we feel, such as the
23 anticoagulation issues, other issues that we have
24 brought up regarding in particular the labeling,
25 this is our chance to get it on the record here, so

1 if there is a condition you would like to propose,
2 then, this is the time to do it. I would remind
3 people about the conditions that we discussed in
4 the labeling.

5 Dr. White.

6 DR. WHITE: The one about the
7 anticoagulation, the absolute contraindication if
8 the patient is unable to be anticoagulated.

9 DR. TRACY: Okay. Absolute
10 contraindication to implantation would be a patient
11 who cannot be anticoagulated.

12 A second on that? A second has been
13 heard.

14 Discussion on that?

15 [No response.]

16 DR. TRACY: Then, let's take a vote on
17 that.

18 Dr. Weinberger.

19 DR. WEINBERGER: Yes.

20 DR. TRACY: Dr. Yancy.

21 DR. YANCY: Yes.

22 DR. TRACY: Dr. White.

23 DR. WHITE: Yes.

24 DR. TRACY: Dr. Hirshfeld.

25 DR. HIRSHFELD: Yes.

1 DR. TRACY: Dr. Kato.
2 DR. KATO: Yes.
3 DR. TRACY: Dr. Ferguson.
4 DR. FERGUSON: Yes.
5 DR. TRACY: Dr. Krucoff.
6 DR. KRUCOFF: Abstain.
7 DR. TRACY: Dr. Maisel.
8 DR. MAISEL: Yes.
9 DR. TRACY: Dr. Blumenstein.
10 DR. BLUMENSTEIN: Yes.
11 DR. TRACY: Dr. Bridges.
12 DR. BRIDGES: Yes.
13 DR. TRACY: Dr. Aziz.
14 DR. AZIZ: Yes.
15 DR. TRACY: All are in favor of that with
16 one abstention.
17 Are there any additional conditions that
18 the panel would like to raise?
19 Dr. Kato.
20 DR. KATO: The labeling, I think the
21 indication was irreversible biventricular cardiac
22 dysfunction, at risk for immediate death, and not a
23 candidate for univentricular support device.
24 DR. TRACY: Do we have a second on that?
25 DR. AZIZ: Second.

1 DR. TRACY: Dr. Aziz, discussion regarding
2 that?

3 DR. BRIDGES: Would you restate that, Dr.
4 Kato?

5 DR. KATO: Irreversible biventricular
6 cardiac dysfunction, at risk of immediate death,
7 and not a candidate for univentricular support
8 device.

9 DR. BRIDGES: If you say irreversible
10 biventricular failure, doesn't that exclude them
11 being a candidate for a univentricular support
12 device? You said they have to have biventricular
13 failure and not be a candidate for--what group of
14 patients would have severe biventricular failure
15 and be candidates for a univentricular device?

16 DR. KATO: These were actually notes taken
17 from our previous discussion. I don't know
18 specifically who mentioned that, in fact, I thought
19 it was you.

20 DR. BRIDGES: What I actually said was
21 biventricular support or who are not candidates for
22 univentricular support.

23 In other words, you could have
24 univentricular failure and have arrhythmias, for
25 example, or have univentricular failure and have a

1 VSD, in which case you would be a candidate for
2 this device. So, it was "or," not "and."

3 DR. TRACY: Let me refresh everybody's
4 memory on that. We had a lengthy discussion on
5 this, and I think that the final consensus at that
6 point, it may be a shifting target, was that we
7 would leave the indication alone in its fairly
8 perhaps broad or narrow statement, depending on how
9 you look at it, but that we would like, as one of
10 the conditions, there to be a better statement in
11 the labeling as to who was actually included in
12 this study.

13 That was the point at which we had left
14 that, not to change the indication, but to address
15 it in the labeling.

16 DR. YANCY: And when we address it in the
17 labeling, where does it go?

18 DR. TRACY: If somebody chooses to make
19 that as an additional condition, the condition
20 would be that an additional section would be added,
21 or I believe there is a section there entitled
22 something like study--I would have to look back
23 through here.

24 So, the Summary of Clinical Study would be
25 altered to reflect more clearly who the patients

1 were that were enrolled in the study, and not alter
2 the indication.

3 DR. HIRSHFELD: I will second that.

4 DR. TRACY: We have to vote on the motion
5 that is on the table, which is to alter the
6 indication to change the indication to
7 biventricular failure who are not otherwise
8 candidates for univentricular assist devices.

9 That is the motion that is on the table at
10 this point that we need to vote on. It has been
11 proposed and seconded.

12 Additional discussion regarding that
13 motion?

14 DR. HIRSHFELD: I am opposed to the
15 statement that the patient has to not be a
16 candidate for a univentricular support device,
17 because I don't think we know who those people are.

18 DR. TRACY: I think that is the point at
19 which we came a little bit earlier, so if there is
20 no additional discussion on that, then, we need to
21 vote on this particular condition.

22 DR. WEINBERGER: No.

23 DR. TRACY: Dr. Yancy.

24 DR. YANCY: No.

25 DR. TRACY: Dr. White.

1 DR. WHITE: No.
2 DR. TRACY: Dr. Hirshfeld.
3 DR. HIRSHFELD: No.
4 DR. TRACY: Dr. Kato.
5 DR. KATO: No.
6 DR. TRACY: Dr. Ferguson.
7 DR. FERGUSON: No.
8 DR. TRACY: Dr. Krucoff.
9 DR. KRUCOFF: Abstain.
10 DR. TRACY: Dr. Maisel.
11 DR. MAISEL: No.
12 DR. TRACY: Dr. Blumenstein.
13 DR. BLUMENSTEIN: No.
14 DR. TRACY: Dr. Bridges.
15 DR. BRIDGES: Just as a point of order,
16 was that seconded, that motion?
17 DR. TRACY: It was seconded.
18 DR. BRIDGES: No.
19 DR. TRACY: Dr. Aziz.
20 DR. AZIZ: Yes.
21 DR. TRACY: We have then, if I am counting
22 right, 6 no's, 1 abstention, 1 yes. I counted
23 wrong. I know that there is 1 abstention and 1
24 yes. And I didn't vote. 8 no's, 1 abstention, 1
25 yes.

1 DR. TRACY: Let me ask, are there any
2 additional conditions, and I want to remind the
3 panel about the issue regarding the labeling and
4 our need to be more clear in the labeling regarding
5 patient population, et cetera.

6 DR. FERGUSON: Page 4 in the labeling,
7 3.0. We asked for a change there to flesh out what
8 the contraindications were other than just the bald
9 faced body surface area.

10 DR. TRACY: We have already addressed the
11 anticoagulation issue on the contraindications, but
12 we wanted to have the contraindication be fleshed
13 out a bit to state that the device is not to be
14 used in patients in whom it won't fit, and then
15 within the labeling, to give a more clear
16 definition of who it would fit in.

17 So, the motion is to remove the specific
18 1.7 meter squared contraindication, and simply
19 state it as patients in whom the device will not
20 fit.

21 DR. WHITE: If we use the language on the
22 next page, on No. 6 under warnings, we could just
23 move that warning up.

24 DR. TRACY: Okay. Do not use this device
25 if the implantable artificial ventricles cannot fit

1 in the chest area vacated by the natural
2 ventricles. Inferior vena cava, and left pulmonary
3 venous compression are possible consequences. So,
4 change that to the contraindications?

5 DR. FERGUSON: I would amend it, that the
6 inferior vena cava and pulmonary venous compression
7 is a warning separate from the size.

8 DR. TRACY: So, the condition then is to
9 change the contraindication to the first sentence,
10 don't use this device if it cannot fit in the chest
11 area vacated by the natural ventricles.

12 PARTICIPANT: Second.

13 DR. TRACY: Can we take a vote on that?

14 Dr. Weinberger.

15 DR. WEINBERGER: Agree.

16 DR. TRACY: Dr. Yancy.

17 DR. YANCY: Agree.

18 DR. TRACY: Dr. White.

19 DR. WHITE: Yes.

20 DR. TRACY: Dr. Hirshfeld.

21 DR. HIRSHFELD: Yes.

22 DR. TRACY: Dr. Kato.

23 DR. KATO: Yes.

24 DR. TRACY: Dr. Ferguson.

25 DR. FERGUSON: Yes.

1 DR. TRACY: Dr. Krucoff.

2 DR. KRUCOFF: Abstain.

3 DR. TRACY: Dr. Maisel.

4 DR. MAISEL: Yes.

5 DR. TRACY: Dr. Blumenstein.

6 DR. BLUMENSTEIN: Yes.

7 DR. TRACY: Dr. Bridges.

8 DR. BRIDGES: Yes.

9 DR. TRACY: Dr. Aziz.

10 DR. AZIZ: Yes.

11 DR. TRACY: Ten in favor of that amendment
12 on the contraindications and 1 abstention.

13 Are there any additional conditions?

14 DR. BRIDGES: I have a question,
15 discussion, possibly a condition, about
16 antiplatelet agents. We have talked about a
17 contraindication being a patient who is not a
18 candidate for anticoagulation.

19 Should we address patients who are not
20 candidates for antiplatelet therapy? There are a
21 few patients who fall into that category, such as
22 patients with thrombocytopenia, et cetera.

23 The question or I guess I could put it in
24 as a motion for some discussion is we add a
25 contraindication for patients who are not

1 candidates for antiplatelet therapy, since
2 antiplatelet therapy was part of the trial.

3 That is a different issue than
4 anticoagulation per se.

5 DR. TRACY: Are you proposing a
6 contraindication be patients who cannot receive
7 antiplatelet therapy?

8 DR. BRIDGES: Yes.

9 DR. AZIZ: Should we ask the sponsor,
10 because the data here doesn't help us really make
11 that statement, if that is okay to ask them to step
12 up?

13 DR. TRACY: Can we ask the sponsor for a
14 little clarification on that before we move forward
15 with this condition? Dr. Copeland.

16 DR. COPELAND: What would the question be?

17 DR. TRACY: Do you have any information
18 regarding antiplatelet use, is it a
19 contraindication to implanting this device in
20 patients who cannot receive antiplatelet therapy?

21 DR. COPELAND: I am not sure it would be.

22 DR. FERGUSON: Do you have any
23 experience--

24 DR. COPELAND: No, I just don't know.

25 DR. TRACY: Antiplatelet therapy was not

1 part of the treatment during use of the device?

2 DR. COPELAND: Oh, yes, it is.

3 Presumably, these would be patients that had
4 thrombocytopenias or something, and they might--you
5 know, they might be adequately treated with an
6 anticoagulant alone without the necessity of even
7 thinking about treating them with an antiplatelet
8 agent. They might actually be reasonable
9 candidates. I mean obviously, this would be a
10 small population of patients.

11 DR. TRACY: I think we don't have the
12 information specifically on that, but it may be
13 something that would be reasonable to think about
14 in terms of a warning that the safety of this
15 device has not been established in patients who
16 cannot receive antiplatelet therapy. That might be
17 a way of raising that concern.

18 Dr. Hirshfeld.

19 DR. HIRSHFELD: I was going to propose
20 another strategy to deal with the issue, and that
21 was just to have a warning that the safe use of
22 this device requires assiduous attention to, and
23 control of, monitoring of antithrombotic and
24 antiplatelet therapy.

25 DR. TRACY: Which was one of the warnings

1 that you had raised previously.

2 DR. HIRSHFELD: Right.

3 DR. TRACY: And I think that does address
4 both the antiplatelet and need to monitor
5 anticoagulation. So, that, then, is your proposed
6 condition.

7 DR. HIRSHFELD: Words to that effect.

8 DR. TRACY: Words to that effect.

9 A second on Dr. Hirshfeld's--

10 Second? Okay. Let's take a vote on that
11 condition.

12 DR. WEINBERGER: Agree.

13 DR. TRACY: Dr. Yancy.

14 DR. YANCY: Agree.

15 DR. TRACY: Dr. White.

16 DR. WHITE: Agree.

17 DR. TRACY: Dr. Hirshfeld.

18 DR. HIRSHFELD: Agree.

19 DR. TRACY: Dr. Kato.

20 DR. KATO: Yes.

21 DR. TRACY: Dr. Ferguson.

22 DR. FERGUSON: Yes.

23 DR. TRACY: Dr. Krucoff.

24 DR. MAISEL: I will abstain on his behalf.

25 DR. TRACY: Oh, you are abstaining on his

1 behalf.

2 [Laughter.]

3 DR. TRACY: I wasn't watching.

4 Dr. Maisel.

5 DR. MAISEL: I agree.

6 DR. TRACY: Dr. Blumenstein.

7 DR. BLUMENSTEIN: Agree.

8 DR. TRACY: Dr. Bridges.

9 DR. BRIDGES: Yes.

10 DR. TRACY: Dr. Aziz.

11 DR. AZIZ: I agree.

12 DR. TRACY: 11 in favor.

13 Are there any additional conditions that
14 the panel wants to raise?

15 Dr. Maisel.

16 DR. MAISEL: I would like to raise the
17 condition that the first case for each implanting
18 surgeon is proctored.

19 DR. TRACY: Okay.

20 Second? Okay.

21 Any discussion? Dr. Hirshfeld.

22 DR. HIRSHFELD: I would just wonder about
23 the practicality of doing this because oftentimes
24 the circumstances come up with a patient crashing
25 and burning, and it is pretty hard to get a proctor

1 on three hours' notice.

2 DR. MAISEL: Maybe it should be worded,
3 such that the surgeon can go somewhere and observe
4 an implant, and that would also be satisfactory.
5 There may be 24 or 48 hours of lead time, such that
6 they would be able to fly somewhere and observe an
7 implant.

8 DR. TRACY: I am sorry, are you amending
9 that to say that--who is flying where?

10 [Laughter.]

11 DR. MAISEL: I guess the point of my
12 suggestion was that a physician, a surgeon either
13 implant under proctored conditions, or I think it
14 would be satisfactory for them to directly observe
15 an implant in a human, whether that is their own
16 institution or someone else's.

17 DR. WEINBERGER: I don't think that that
18 is what we had in mind when we discussed this
19 earlier. When this came up earlier and we were
20 discussing what sort of training would be
21 necessary, we sort of concluded, at least my
22 understanding was that we wanted one proctored case
23 by the trainee surgeon being observed by an
24 experienced surgeon.

25 It may be that the case will be

1 problematic, but if that person needs a bridge to
2 the bridge, that may be what has to happen.

3 DR. BRIDGES: I have a question. Should
4 we be really addressing the entire proposed
5 physician training program in this condition? I
6 mean we are dealing with subsets of the proposed
7 physician training with this condition.

8 Should the condition be that the entire
9 proposed physician's training program is required,
10 perhaps with some changes, is that what we need to
11 do?

12 DR. TRACY: We can do this any way you
13 like. If the proposed training program looks
14 appropriate with the exception of requiring some
15 proctoring on the first implant, then, that is the
16 only condition we need to discuss.

17 If the entire thing needs changing, then,
18 we can address that globally, but the condition
19 that has been raised at this point is whether or
20 not that first case needs to be proctored, and the
21 discussion surrounding that is that there may be
22 some logistic problems with that in the middle of
23 the night trying to find a proctor to come 300
24 miles.

25 DR. BRIDGES: I think Dr. Hirshfeld's

1 point is well taken, that the idea that it had to
2 be proctored was a good one, but I don't think we
3 were really thinking of what he brought up, which
4 is that these cases come up on weekends, in the
5 middle of the night when you can't get ahold of
6 anybody.

7 So, I think maybe another way of doing it
8 would be the condition that we require the proposed
9 physician training program as outlined, however,
10 modify it, such that a surgeon either will have his
11 first implantation proctored or will have viewed an
12 implantation at another center.

13 That way, a surgeon can get himself
14 trained prospectively, so that he is ready for the
15 middle of the night thing. If you don't do that,
16 then, you can't put it in unless somebody is
17 available to proctor it.

18 DR. TRACY: So, that would be an
19 alternative proposal, but at this point, let's vote
20 on the condition that is on the table.

21 DR. WHITE: Could we just hear from a
22 surgeon? I would like to hear from the surgeons
23 about this, because the real question is I am not
24 sure what the relative percentage of patients that
25 are done on an emergent basis are.

1 It clearly seems like it is a better idea
2 to have your hands in your own operating room to do
3 this the first time, so what do you think?

4 DR. AZIZ: I think you are right. I think
5 particularly if it's an elective or if you have,
6 let's say, a day or two, it would be nice to have
7 somebody there to prevent the vena cava kinking and
8 things of that nature.

9 Obviously, if it's an emergent situation,
10 and you are forced to do it, then, that is a
11 different issue. Then, you would do it anyway, I
12 think you should be allowed to do it. So, if the
13 time permits, you should really have somebody I
14 think help proctor you or be there.

15 DR. TRACY: I am not sure, did that help
16 you? Okay. It doesn't help me much either. I
17 think that the reality is that things happen in
18 unforeseen ways, but we have the condition on the
19 table here. We can come back and address it with a
20 different proposal, but I think we should at this
21 point vote on this condition, which states that the
22 first case must be proctored.

23 Dr. Weinberger.

24 DR. WEINBERGER: Yes.

25 DR. TRACY: Dr. Yancy.

1 DR. YANCY: No.

2 DR. TRACY: Dr. White.

3 DR. WHITE: Abstain.

4 DR. TRACY: Dr. Hirshfeld.

5 DR. HIRSHFELD: No.

6 DR. TRACY: Dr. Kato.

7 DR. KATO: No.

8 DR. TRACY: Dr. Ferguson.

9 DR. FERGUSON: No.

10 DR. TRACY: Dr. Krucoff.

11 DR. KRUCOFF: Abstain.

12 DR. TRACY: Dr. Maisel.

13 DR. MAISEL: No.

14 DR. TRACY: Dr. Blumenstein.

15 DR. BLUMENSTEIN: Yes.

16 DR. TRACY: Dr. Bridges.

17 DR. BRIDGES: No.

18 DR. TRACY: Dr. Aziz.

19 DR. AZIZ: Abstain.

20 DR. TRACY: So, that particular iteration

21 of the motion does not pass.

22 Do we have another motion for a condition?

23 Let me remind the panel that we also wanted the

24 training program, the title of the training program

25 to reflect that it is more than the surgeon who is

1 being trained in this procedure, so whoever makes
2 the next motion keep that in mind.

3 DR. YANCY: I guess as a point of
4 clarification, if we have already made that
5 statement and given the FDA a directive, do we have
6 to readdress that as a condition? My assumption
7 was that conditions were at a higher threshold of
8 mandate and concern.

9 DR. ZUCKERMAN: That is correct.

10 DR. TRACY: What is correct?

11 DR. ZUCKERMAN: That you don't necessarily
12 need to make that a condition of approval.

13 DR. TRACY: If we feel that, in their
14 infinite wisdom, the FDA and the sponsor will
15 clarify that, that is fine.

16 Any additional high-threshold issues that
17 need to be addressed here? I don't think we have
18 resolved the issue of proctoring entirely here.

19 Dr. Bridges or others? Dr. Maisel.

20 DR. MAISEL: Let me try again. That the
21 first case either be proctored or that the surgeon
22 observe a live case either at his own institution
23 or elsewhere.

24 DR. TRACY: Again, the only difference
25 from what the proposed training is, is that we are

1 requesting that it be mandatory rather than
2 optional.

3 Is there a second on that?

4 DR. FERGUSON: That doesn't look at the
5 total training group, that is the problem it seems
6 to me. In other words, if a surgeon elects after
7 he has been approved, done his experimental work,
8 and so forth, he could go to another institution
9 and watch, you know, Dr. Copeland put one of these
10 in, but his whole team is not going with him unless
11 you specify that.

12 DR. WHITE: I would like to ask the
13 surgeons if they think that there is an incremental
14 benefit to going somewhere and watching an
15 operation that they can't get from a videotape that
16 has been edited to show them--I mean is there a
17 benefit to going and standing in an operating room
18 if they are not going to cut and sew?

19 DR. BRIDGES: Yes.

20 DR. TRACY: For Dr. Ferguson, I don't
21 think that proposing that the surgeon either travel
22 to a center or have somebody travel to your center
23 changes the requirement that the entire team be
24 trained as is stated there.

25 We do not yet have a second on this

1 proposal.

2 DR. HIRSHFELD: I am sorry. What are we
3 proposing?

4 DR. TRACY: The proposed condition is
5 that--let me see if I can state it clearly--for the
6 surgical proctor, SynCardia will maintain Centers
7 of Excellence where surgeons will view an
8 implementation, or alternatively, a proctor will
9 attend the first implant, so making it mandatory,
10 on page 73, either making it mandatory for the new
11 surgeon to go to a Center of Excellence or for a
12 proctor to come to the new implant site.

13 DR. WHITE: So, if you say something that
14 says that prior to an institution's first implant,
15 there will either be a proctor or the surgeon will
16 visit, I think. That's what we are trying to say is
17 that before somebody takes one on themselves--

18 DR. TRACY: Before the first solo implant,
19 right, yes, that is the essence of the thing.

20 DR. HIRSHFELD: Another alternative would
21 be to require the condition of initial shipping of
22 the device to an institution, that the surgeon at
23 that institution has to have completed the animal
24 experience and has to have traveled to observe a
25 human implant.

1 DR. KATO: I would like to add that the
2 phrase, not only surgeon, but surgeon and their
3 teams have to travel, because I think that this is
4 really a team approach. There are a lot of
5 complicated parts, and not only the surgeon has to
6 be comfortable with it, but the circulating nurses
7 and the scrub nurse has to be fully aware of what
8 is going on.

9 DR. YANCY: Do we really want to mandate
10 travel? Practically speaking, it is the same
11 problem that John pointed out except the reverse
12 logic, because now the team is on a minute's notice
13 traveling who knows how far.

14 DR. KATO: I think they have to travel to
15 get that experience. I think that is what we were
16 talking about before, right?

17 DR. TRACY: Within the proposed training
18 program, the team is to be trained. The question
19 is does the entire team need to travel at 3 o'clock
20 in the morning 300 miles to the Center of
21 Excellence or just does the surgeon.

22 DR. KATO: The proctoring team or the
23 proctoring surgeon, that is what you are saying.

24 DR. TRACY: I am reminding the group I
25 don't think we have a second on this thing, but we

1 are proposing that either someone from the Center
2 of Excellence comes to your center and watches you
3 do your first case, or you go to the Center of
4 Excellence and watch a case being performed on a
5 human.

6 DR. WHITE: And this is in addition to all
7 the other animal work and the other training.

8 DR. TRACY: In addition to all the other
9 things.

10 DR. WHITE: I think that I am pretty happy
11 that the team is getting trained on the animal and
12 they understand the machine. It's the surgeon's
13 facility with the device the first time.

14 DR. TRACY: Right. So, I think we have to
15 be careful how much travel we--

16 DR. FERGUSON: Is there a motion on the
17 floor?

18 DR. TRACY: There is a motion that has not
19 been seconded yet.

20 DR. FERGUSON: What was that motion again?

21 DR. TRACY: The motion is that either the
22 implanting surgeon, in addition to all the other
23 training that is stated in the proposed training
24 program, either the new implanting surgeon will
25 travel to a Center of Excellence to observe a case,

1 or a proctor from the Center of Excellence will
2 come to the new site to observe the first implant
3 performed at the new site.

4 DR. FERGUSON: Second.

5 DR. TRACY: We have now a second on that
6 motion.

7 Any additional discussion?

8 DR. BRIDGES: Clarification. I don't want
9 to belabor this, but what if you are a surgeon at
10 your site, and another surgeon at your site has
11 traveled and observed and/or implanted at your
12 site, I don't think you need to go to another
13 center. I think it is sufficient for you to see
14 one at your own center.

15 So, I guess one way of putting that is
16 that the first implantation at a given
17 center--somehow or other we have got to say
18 this--but every surgeon at that center doesn't then
19 need to go someplace else to see one.

20 DR. TRACY: I think there is wisdom in
21 that.

22 Dr. Maisel.

23 DR. MAISEL: I was just going to say once
24 someone implants one, they could be a proctor would
25 be a way around that problem.

1 DR. TRACY: Do we have to make that a
2 separate motion, or can we amend our motion before
3 we vote on it?

4 MS. WOOD: Correct me if I am wrong, but
5 the second has to withdraw before the motion can be
6 amended, isn't that correct?

7 DR. TRACY: Dr. Ferguson?

8 DR. FERGUSON: I will withdraw.

9 DR. TRACY: So, we want to amend our
10 condition, so that either the new surgeon travels
11 to a site for training, or the training site sends
12 a surgeon to the new center, however, once a
13 surgeon at the new center is trained, that can be
14 passed on to additional surgeons at that center
15 without further travel being involved. That is the
16 amended proposal.

17 DR. FERGUSON: That sounds cumbersome.
18 The thing we want to do is to make certain, either
19 at home or away, he sees one implantation in a
20 human being. That is what we are after.

21 DR. TRACY: That's right, but then that
22 knowledge can be transferred on, Dr. Bridges can
23 then teach Dr. Aziz without Dr. Aziz also having to
24 travel.

25 DR. FERGUSON: Right. But I mean that's

1 implicit, if he sees one at home, then, that
2 counts, doesn't it?

3 DR. TRACY: So, then the newly trained
4 surgeon can then proctor at his or her home
5 institution.

6 DR. BRIDGES: Surgeons will either view an
7 implantation at a Center of Excellence or at their
8 own institution--

9 DR. TRACY: I think that the FDA can
10 probably figure out how to word this. You know
11 what we mean to say.

12 DR. ZUCKERMAN: That's right.

13 DR. TRACY: We know what we mean to say,
14 but we just can't say it.

15 DR. BRIDGES: Okay. I second the motion
16 whatever it is we are trying to say.

17 [Laughter.]

18 DR. TRACY: Is that all right with the
19 FDA?

20 DR. ZUCKERMAN: Yes.

21 DR. TRACY: Dr. Weinberger.

22 DR. WEINBERGER: Yes.

23 DR. TRACY: Dr. Yancy.

24 DR. YANCY: Yes, but I hate seconding
25 something that I don't know what it is.

1 DR. TRACY: Dr. White.
2 DR. WHITE: Yes.
3 DR. TRACY: Dr. Hirshfeld.
4 DR. HIRSHFELD: Yes.
5 DR. TRACY: Dr. Kato.
6 DR. KATO: Yes.
7 DR. TRACY: Dr. Ferguson.
8 DR. FERGUSON: Yes.
9 DR. TRACY: Dr. Krucoff.
10 DR. KRUCOFF: Abstain.
11 DR. TRACY: Dr. Maisel.
12 DR. MAISEL: Yes.
13 DR. TRACY: Dr. Blumenstein.
14 DR. BLUMENSTEIN: Yes.
15 DR. TRACY: Dr. Bridges.
16 DR. BRIDGES: Yes.
17 DR. TRACY: Dr. Aziz.
18 DR. AZIZ: Yes.
19 DR. TRACY: Okay. So, 1 abstention, all
20 others in favor.

21 Are there any other conditions that the
22 panel wants to raise at this time?

23 DR. WHITE: We need to go back to Section
24 6 and talk about including details about the study
25 population, Section 6, page 7.

1 DR. YANCY: Once again, we need to
2 understand if our previous discussion was
3 sufficient on this section, or if we have to
4 specifically address it. My understanding is that
5 this will be in the label, that there will be a
6 detailed part of the label that includes the
7 information under 6, is that correct?

8 DR. ZUCKERMAN: That is our intent, but
9 you want to make it as a condition of approval?

10 DR. TRACY: I think that the FDA has heard
11 the message and the sponsor has also heard the
12 message that we want further clarification of who
13 the patients involved in the study were. I am not
14 sure we need to make that as a condition for
15 approval.

16 DR. WHITE: And that includes like taking
17 out references to p-values?

18 DR. TRACY: Yes.

19 Are there any other conditions then? I
20 think we have exhausted that.

21 I am supposed to at this point, restate
22 the motion at this time as to what we will next be
23 voting on will be the initial motion, which was to
24 approve with conditions.

25 The conditions that have been stated are:

1 that there will be a post-market surveillance study
2 constructed to capture adverse events and outcome;
3 that there will be added to the contraindication
4 statement that a patient cannot receive this who
5 cannot receive anticoagulation; that the
6 contraindications will be modified to reflect the
7 body size as is currently stated in the warnings
8 No. 6; that the warnings will include a statement
9 regarding anticoagulation and antiplatelet
10 monitoring; that it will be mandated that some form
11 of hands-on or site-on proctoring will take place.

12 Did I get them all?

13 DR. ZUCKERMAN: And was it only to be
14 performed at cardiac transplant centers?

15 DR. TRACY: That did not pass. So, that
16 is what we are currently voting on.

17 DR. YANCY: I thought it did pass.

18 DR. TRACY: Did I miss something?

19 DR. YANCY: It did pass by 1.

20 DR. FERGUSON: You broke the tie.

21 DR. TRACY: Yes, it did pass, I am sorry.

22 This will only be implanted at centers that are
23 performing cardiac transplantation.

24 DR. YANCY: And as well on the
25 post-marketing study, I think you specifically said

1 from the point of entry to capture the entry
2 characteristics, and you did not restate that just
3 now. You said adverse events and outcomes, and I
4 think all three things are critical.

5 DR. TRACY: I am hoping that the lady over
6 there who is typing will have captured better what
7 we originally said.

8 So, that is what we are current voting on.
9 Do we have a second on that motion then?

10 PARTICIPANT: Second.

11 DR. TRACY: Dr. Weinberger.

12 DR. WEINBERGER: Yes.

13 DR. TRACY: Dr. Yancy.

14 DR. YANCY: Yes.

15 DR. TRACY: Dr. White.

16 DR. WHITE: Yes.

17 DR. TRACY: Dr. Hirshfeld.

18 DR. HIRSHFELD: Yes.

19 DR. TRACY: Dr. Kato.

20 DR. KATO: Yes.

21 DR. TRACY: Dr. Ferguson.

22 DR. FERGUSON: Yes.

23 DR. TRACY: Dr. Krucoff.

24 DR. KRUCOFF: No.

25 DR. TRACY: Dr. Maisel.

1 DR. MAISEL: Yes.

2 DR. TRACY: Dr. Blumenstein.

3 DR. BLUMENSTEIN: Yes.

4 DR. TRACY: Dr. Bridges.

5 DR. BRIDGES: Yes.

6 DR. TRACY: Dr. Aziz.

7 DR. AZIZ: Yes.

8 DR. TRACY: Okay, 10 in favor and 1
9 opposed.

10 I am supposed to give you one more chance
11 to state your reasons for voting as you did.

12 Dr. Weinberger.

13 DR. WEINBERGER: I will be very brief. I
14 don't think that this device meets the usual
15 standards of scientific rigor that we normally
16 expect from devices released to the public.

17 In the drug world, there is the concept of
18 an orphan drug, and I view this device as an orphan
19 device. It applies to a very small population and
20 hopefully will be restricted to use by that
21 population, and not be more widely propagated
22 without further scientific study.

23 It is in that rubric that these people are
24 dying, and really don't have any other very good
25 alternative that I support its release.

1 DR. TRACY: Dr. Yancy.

2 DR. YANCY: My vote is yes because of my
3 perception of the clinical need for such a
4 platform, but it is with the understanding that
5 there will be an ongoing effort to look at
6 indications and outcomes.

7 DR. TRACY: Dr. White.

8 DR. WHITE: I think that reflects my
9 views, as well.

10 DR. TRACY: Dr. Hirshfeld.

11 DR. HIRSHFELD: I have nothing to add to
12 what Dr. Weinberger and Dr. Yancy said.

13 DR. TRACY: Dr. Kato.

14 DR. KATO: I voted to approve with
15 conditions. I am still concerned about the
16 proliferation of this device if it becomes very
17 commonplace in terms of cost issues and safety
18 issues, as well. So, we will just have to maintain
19 close and careful follow-up.

20 DR. TRACY: Dr. Ferguson.

21 DR. FERGUSON: I think the device is
22 filling and will fill a very important niche in
23 patients that are otherwise going to die.

24 DR. TRACY: Dr. Krucoff.

25 DR. KRUCOFF: There is clearly a patient

1 population with a need and no question about the
2 integrity and the intention of the investigators
3 and their dedication to this and tenacity to follow
4 through over a decade to bring it to this point.

5 I simply don't feel that the data give
6 assurance that we know who we might hurt in this
7 process, and to me, in that regard, does not
8 support reasonable assurance of safety.

9 DR. TRACY: Dr. Maisel.

10 DR. MAISEL: I believe that in carefully
11 selected patients that the device serves a need
12 that is not currently filled at this time.

13 DR. TRACY: Dr. Blumenstein.

14 DR. BLUMENSTEIN: I have nothing to add to
15 what has already been said.

16 DR. TRACY: Dr. Bridges.

17 DR. BRIDGES: Well, I think that given
18 that this device is applied to the sickest
19 patients, that the results are encouraging that the
20 outcomes will equal at least, and possibly surpass,
21 those of some of the other available devices, as
22 well as filling a niche for patients who are not
23 candidates for any of the currently available forms
24 of therapy.

25 DR. TRACY: Dr. Aziz.

1 DR. AZIZ: I agree with my other
2 colleagues. I think there are a group of patients
3 that deserve a device like this, and this will
4 perform I think a function for those patients. I
5 think obviously, this and other devices do not
6 address the chronic donor shortage, and this I
7 think puts the patient in a better condition when
8 he does come for transplantation.

9 DR. TRACY: Ms. Wells or Mr. Morton, do
10 you have any additional comments to make at this
11 point?

12 MR. MORTON: I would just briefly like to
13 say I certainly understand the spirit of the
14 panel's desire to have this device used at
15 transplant centers. I think that reflects the
16 spirit of the sponsor also, but I would urge the
17 FDA to take the panel's recommendation and put that
18 in the labeling rather than make that a PMA
19 condition of approval, because I don't think it
20 will be enforceable and I think it raises some
21 significant commercial questions.

22 DR. TRACY: This concludes the report and
23 recommendations of the panel on PMA P030011,
24 SynCardia Systems, Inc., for the SynCardia Systems
25 CardioWest Total Artificial Heart for

1 bridge-to-transplant eligible candidates at risk
2 for imminent death from non-reversible
3 biventricular failure.

4 Thank you, everybody.

5 Panel members, tomorrow morning at 8
6 o'clock in the Boardroom.

7 [Whereupon, at 6:00 p.m., the proceedings
8 were recessed, to reconvene at 8:00 a.m., Thursday,
9 March 18, 2004.]

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C E R T I F I C A T E

I, **ALICE TOIGO**, the Official Court Reporter for Miller Reporting Company, Inc., hereby certify that I recorded the foregoing proceedings; that the proceedings have been reduced to typewriting by me, or under my direction and that the foregoing transcript is a correct and accurate record of the proceedings to the best of my knowledge, ability and belief.


ALICE TOIGO